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(Article begins on next page)



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## Future Perspectives in Nerve Repair and Regeneration

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### Abstract

After peripheral nerve injuries, the process of nerve regeneration and target reinnervation is very complex and depends on many different events occurring not only at the lesion site but also proximally and distally to it. In spite of the recent scientific and technological advancements, the need to find out new strategies to improve clinical nerve repair and regeneration remains. To reach this goal, the therapeutic strategy should thus exert its effects at different levels in order to simultaneously potentiate axonal regeneration, increase neuronal survival, modulate central reorganization, and inhibit or reduce target organ atrophy. It is expected that this multilevel approach might lead to significant improvement in the functional outcome and thus the quality of life of the patients suffering from peripheral nerve injury.

### 1. INTRODUCTION

Peripheral nerve injuries belong to the most challenging and difficult surgical reconstructive problems, and often cause partial or total loss of motor, sensory, and autonomic functions. The consequences of nerves injuries, which occur in approximately 2.8% of trauma patients (Huelsenbeck et al., 2012), may be disastrous and can result in substantial functional loss, thus interfering with many aspects of a person's life because of permanently impaired sensory and motor functions. Moreover, development of secondary problems, such as neuropathic pain, dysesthesia, and cold intolerance is frequently observed following nerve injuries. In addition, nerve injuries have also a substantial economic impact on the society in terms of health care and long periods of sick leave (de Putter et al., 2012). Despite the ability of the peripheral nerve to regenerate and reinnervate denervated target organs has been recognized for more than a century, clinical and experimental evidences show that the regeneration is usually far from satisfactory, especially after severe injuries (Navarro, Vivo, & Valero-Cabre, 2007; Pfister et al., 2011; Sun et al., 2009). So far, there is no technique to guarantee total recovery and normalization of functional sensibility following repair of an injured nerve. The poor outcome reflects the complexity of peripheral nerve injuries and the diversity of cellular and biochemical events, which are required to regain function. Indeed, a nerve injury differs from most other types of tissue injuries in the body, since it is not only a local repair process that is required. The processes of nerve regeneration and target reinnervation are complex, involving many factors which lead to immediate as well as long-term physiological, biochemical, and cellular changes (Fig. 8.1)(Lundborg, 2005). First of all, dramatic changes occur at the level of the damaged nerve (Geuna et al., 2009). After a peripheral nerve traumatic lesion, at the level of the nerve injury, changes begin almost immediately, both proximally and distally to the lesion. In the proximal segment, axons degenerate for some distance back from the site of injury. Within hours

after injury, the axon produces a great number of collateral sprouts that advance distally. After nerve transection, the distal segment undergoes a slow process of degeneration known as Wallerian degeneration, which starts immediately after injury and involves myelin breakdown and proliferation of Schwann cells. Schwann cells and macrophages are recruited to the injury site and phagocytize all the myelin and cellular debris. As the axon sprouts from the proximal stump, they regenerate between the layers of basal lamina of the Schwann cell processes reaching finally the target organs (Geuna et al., 2009). Second, changes occur to the target organ innervated by the damaged nerve (Geuna et al., 2009). The regenerated axon must reinnervate the proper target, and the target must retain the ability to accept reinnervation and recover from denervation-related atrophy. Regeneration rate is approximately 1/2 mm/day; therefore, more proximal injuries lead to longer denervation periods and, despite optimal microsurgical techniques, the functional results achieved after repair of severed peripheral nerves are much less than optimal due to the target organ atrophy that takes place during the period of denervation. Third, changes occur to the proximal neural structures (e.g., dorsal root ganglia and spinal cord) where the cell bodies of the neurons are located. As a consequence of peripheral nerve injury, cell bodies in dorsal root ganglia (DRGs) and anterior horns of the spinal cord undergo adaptive changes that involve a chromatolytic reaction associated with a shift in protein synthesis from a “signaling mode” to a “growing mode” and protein synthesis switches from neurotransmitter-related substances to those required for axonal reconstruction. Moreover, the peripheral and central nervous systems (CNSs) are functionally integrated and a peripheral nerve lesion always results in long-lasting central modifications and reorganization (Kaas, 1991; Kaas & Collins, 2003; Wall, Xu, & Wang, 2002). The mechanisms of plasticity and reorganization of brain circuits that occur after nerve injury are complex; they may result in beneficial adaptive functional changes or contrarily cause maladaptive changes, such as pain, dysesthesia, hyperreflexia, and dystonia (Lundborg, 2000, 2003).

## **2. CHANGES AT THE NERVE LEVEL**

In 1943, Sir Herbert Seddon introduced a classification of three discrete types of nerve injury: neurapraxia, axonotmesis, and neurotmesis (Seddon, 1943): i. Neurapraxia is a mild injury characterized by local myelin damage. Axon continuity is preserved, and the nerve does not undergo Wallerian degeneration. It may result from exposure to a wide range of conditions such as heat, cold, irradiation, or electrical injuries, but is most commonly due to mechanical stress, such as concussion, compression, or traction injuries. Recovery may occur within hours, days, weeks, or up to a few months. ii. Axonotmesis involves additional damage to peripheral axons, but connective tissue structures remain intact. The interruption of axons is often the result of nerve pinching, crushing, or prolonged pressure. Wallerian degeneration occurs, but subsequent axonal regrowth may proceed along the intact endoneurial tubes. Recovery depends upon the degree of internal disorganization in the nerve as well as the distance to the end organ. iii. Neurotmesis is the most severe injury, equivalent to physiologic disruption of the entire nerve. Functional recovery does not easily occur because of the extent of endoneurial tube disruption. Nonetheless, successful regeneration might result with surgical intervention. In 1951, Sunderland expanded Seddon's classification to five degrees of peripheral nerve injury instead of three (Sunderland,

1951). He divided Seddon's axonotmesis grade into three types, depending on the degree of connective tissue involvement:

- i. Type 1 injury corresponds to Seddon's neurapraxia with conduction block and completely intact stroma.
- ii. Type 2 injury corresponds to Seddon's axonotmesis. The endoneurium, perineurium, and epineurium are still intact, but the axons are physiologically disrupted. Recovery can occur by axonal regrowth along endoneurial tubes, and complete functional recovery can be expected. The time for recovery depends on the level of injury, usually months.
- iii. In type 3 injury, the endoneurium is also disrupted, but the surrounding perineurium and epineurium are intact. Recovery is incomplete and depends upon how well the axons can cross the site of the lesion and find endoneurial tubes.
- iv. In type 4 injury, individual nerve fascicles are transected, and the continuity of the nerve trunk is maintained only by the surrounding epineurium. This type of injury requires surgical repair or reconstruction of the nerve.
- v. Type 5 injury is equivalent to Seddon's neurotmesis (complete nerve disruption), and spontaneous recovery is negligible. Although Sunderland's classification provides a concise and anatomic description of nerve injury, the clinical utility of this system is debatable since a nerve may undergo a combination of different degrees of injury. Therefore, a sixth degree of nerve injury has been introduced to define a combination of the other degrees of injuries (Mackinnon, 1989). After a peripheral nerve traumatic injury, complex pathophysiologic changes, including morphologic and metabolic changes, occur at the injury site almost immediately. The interruption of a peripheral nerve causes significant changes in normal morphology and tissue organization both proximally and distally to the lesion site. The nerve stump distal to the lesion undergoes a degeneration that is now known as "Wallerian degeneration" in honor of Augustus Volney Waller, who first characterized the disintegration of the frog glossopharyngeal and hypoglossal nerves after axotomy 160 years ago (Stoll, Jander, & Myers, 2002). The process involves a number of phases, some concurrent, others consecutive, in which the distal portions of all affected axons degenerate. The sequence begins with prompt degradation of axoplasm, axolemma, and myelin sheath due to proteolysis (Lubinska, 1982; Schlaepfer, 1977; Vial, 1958). Then, the degraded myelin is phagocytized by the recruited macrophages to aid the removal of axonal and myelin debris (Bruck, 1997; Vargas & Barres, 2007). Fragmentation of axons is first detected few hours after nerve transection. Within 48 h, the entire nerve is fully involved, and over a period of 3–6 weeks, Schwann cells and macrophages phagocytize all the myelin and cellular debris. Schwann cells play several important roles in nerve degeneration and regeneration:
  - i. Coincident with axonal injury, Schwann cells in the distal nerve begin to dedifferentiate (Lee et al., 2009). Within 48 h of injury, they start altering their gene expression: expression of myelin proteins (e.g., P0, MAG (myelin-associated glycoprotein)) (Trapp, Hauer, & Lemke, 1988; White et al., 1989) and connexin 32 (a gap junction protein which forms reflexive contacts within

individual myelinating SCs at para-nodes) (Hall, 2001) decreases dramatically as a consequence of axonal degeneration distal to the injury site, whereas regeneration associated genes (GAP-43), neurotrophic factors and their receptors, neurotrophin 4/5 (NT-4/5) neuregulin and its receptors, including the low-affinity neurotrophin receptor p75NTR; nerve growth factor (NGF), brain-derived neurotrophic factor (BDNF), glial cell line-derived neurotrophic factor (GDNF), and insulin-like growth factors (IGFs) (Carroll, Miller, Frohnert, Kim, & Corbett, 1997; Hall, 2001) are upregulated.

- ii. Between days 1 and 5 after injury, Schwann cells start proliferating, a critical event for the promotion of axonal regeneration. Their peak of activation occurs around day 3 postinjury and then decreases during the following weeks. A second phase of proliferation occurs during the regenerative process. Proliferating Schwann cells align in columns known as bands of Büngner, which provide a supportive substrate and growth factors for regenerating axons (Griffin & Thompson, 2008; Stoll, Griffin, Li, & Trapp, 1989).
- iii. Schwann cells also play an important role in removing myelin debris; rapid clearance of myelin appears to be the most important precondition for axonal regeneration after peripheral nerve injury because it contains molecules that are inhibitory to axonal growth, such as MAG and oligodendrocyte-myelin glycoprotein (Huang et al., 2005; Shen et al., 2000).
- iv. Yet, Schwann cells in the distal nerve stump produce several neurotrophic and neurotropic molecules (such as NGF, BDNF, NT-4, GDNF, and insulin-like growth factor-1 (IGF-1)) that promote axon growth (Chen, Yu, & Strickland, 2007). Moreover, denervated Schwann cells overexpress fibronectin, laminin, tenascin, and some proteoglycans, which create a substrate for axonal elongation. The phenotype of reactive Schwann cells resembles the one of immature Schwann cells, and they form a permissive substrate for regeneration. When these cells regain contact with the axons, they redifferentiate again (Jessen & Mirsky, 2008).

Wallerian degeneration represents the basis for the nerve regeneration and target reinnervation processes (Battistoni, Geuna, Ferrero, & Tos, 2005; Schmidt & Leach, 2003; Terzis, Sun, & Thanos, 1997). In severe injuries, nerve regeneration begins only after Wallerian degeneration has run its course, but in mild injuries, the regenerative and repair processes begin almost immediately. The rate of axonal regrowth is determined by changes within the cell body, the activity of the specialized growth cone at the tip of each axon sprout, and the resistance of the injured tissue between cell body and end organ. Regenerating axons are usually produced at the node of Ranvier located close to the proximal stump of the lesion (Hopkins & Slack, 1981; McQuarrie, 1985). Nodal sprouts usually contain vesicles of various sizes, and as the sprouts develop into well-formed growth cones, the number of vesicles markedly increases. Sprouts from the node of Ranvier extend through their own basal lamina tubes in the proximal segment, traverse the narrow gap of connective tissue between the proximal and distal stumps, and finally enter the distal nerve segment. During extension through the Schwann cell column, regenerating axons grow along the Schwann cell basal lamina. Axon–Schwann cell attachment is mediated by various adhesion

molecules including the immunoglobulin superfamily, for example, neural cell adhesion molecule (N-CAM) and L1, and the cadherin superfamily, for example, N-cadherin and E-cadherin, whereas axon basal lamina contact is for the most part mediated by laminin (Letourneau, Condit, & Snow, 1994). These adhesion molecules are no longer detected when Schwann cells begin to form the myelin sheath around the axon, whereas the mature unmyelinated fibers continue to exhibit such adhesion molecules (Ide, 1996). When surgical repair of the nerve is required, the goal is to guide regenerating sensory, motor, and autonomic axons to the distal nerve segment to maximize the chance of target reinnervation (Pfister et al., 2011). Nerve reconstruction by tissue engineering has seen an increasing interest over the past years (Leach & Schmidt, 2005; Pfister et al., 2011). Despite the spontaneous regeneration potential of peripheral nerves and the best efforts and modern surgical techniques, functional restoration is often incomplete and clinical results are still unsatisfactory (Battiston et al., 2009; Scholz et al., 2009). Peripheral nerve injury may result in injury without gaps or injury with gaps between the nerve stumps. When there is no gap or the gap is short ( $\leq 5$  mm or less), as in simple injuries, the common surgical approach is a direct suture of the two stumps (end-to-end suture) (Terzis et al., 1997). For longer nerve gaps, when nerve injury resulted in substance loss between the two nerve stumps, this direct suturing under tension leads to very poor clinical results (Dvali & Mackinnon, 2003) and a segment of nerve or other materials must be used to bridge the gap. The demonstration, in the early 1970s, that grafting of an autogenous nerve segment to bridge a nerve defect leads to better clinical results than suturing the two stumps under tension (Millesi, 1970), opened a new era in peripheral nerve surgery making it possibly the most successful surgical approach to complex lesions that before would have been unfathomable. There are three types of conventional bridging materials:

- i. *Autologous nerve grafts.* Nerve autografts have seen extensive clinical employment over the past 30 years. A nerve graft provides an ideal conduit for regenerating axons because it provides a scaffold which contains Schwann cell basal laminae, and moreover, these Schwann cells produce growth factors (Lundborg, 2004). Autogenous nerve grafting can be performed with nonvascularized autogenous nerve, vascularized nerve, interpositional conduits, and nerve allografts. However, it has several disadvantages, including an extra incision for the removal of a healthy sensory nerve, and the removal of a healthy sensory nerve which will result in a sensory deficit. Finally, donor graft material is limited, particularly for managing extensive lesions which require several lengths of nerve graft.
- ii. *Non-nervous biological grafts.* Conduits made by small segments of an artery were first successfully employed by Bungner (Bungner, 1891). However, interest shifted then to veins for their larger availability and reduced side effects related to their withdrawal (Wrede, 1909). Similar to veins, also the use of skeletal muscle autografts for nerve repair was already reported many years ago (Fawcett & Keynes, 1990; Keynes, Hopkins, & Huang, 1984). The idea of employing muscle fibers for axonal regeneration is on the similarities between the muscle basal lamina and the endoneurial tubes (Fawcett & Keynes, 1990; Glasby, Gschmeissner, Hitchcock, & Huang, 1986). Finally, a combined conduit by enriching vein segments with fresh skeletal muscle fibers (muscle-in-vein conduit) is used to improve effectiveness of tubulization nerve repair (Battiston, Tos,

Cushway, & Geuna, 2000; Battiston, Tos, Geuna, Giacobini-Robecchi, & Guglielmone, 2000; Brunelli, Battiston, Vigasio, Brunelli, & Marocolo, 1993; Fornaro, Tos, Geuna, Giacobini-Robecchi, & Battiston, 2001; Tos, Battiston, Ciclamini, Geuna, & Artiaco, 2012).

- iii. *Non-biological grafts.* The use of non-biological materials for nerve reconstruction has a lengthy history started at the beginning of the twentieth century, and many attempts to use various nonbiological materials, such as metals, permeable cellulose esters, gelatine tubes, rubber, plastics, etc., were carried out (Fields, Le Beau, Longo, & Ellisman, 1989). The past 30 years saw an impressive increase of experimental studies aimed at testing new biomaterials for nerve regeneration, such as decalcified silicone tube, bone tube, nylon fiber tube, polyurethanes, etc. (Battiston et al., 2005; Pfister, Papaloizos, Merkle, & Gander, 2007; Schmidt & Leach, 2003). The results have been in general very successful, and their effectiveness is similar and sometimes even superior to traditional nerve autografts (Navarro et al., 1996; Yannas & Hill, 2004; Young, Wiberg, & Terenghi, 2002).

Moreover, direct neurotization of denervated muscles is used in situations where the motor nerve has been avulsed and direct nerve suture or grafting is not possible (Brunelli, 2005). It has been demonstrated that an axon that is in contact with a denervated muscular fiber can form a new neuromuscular junction (NMJ). A prerequisite for this procedure is that there is some residual trophism of the muscle. Generally, however, neurotization procedures have poor functional outcome. Finally, end-to-side neurorrhaphy is based on the assumption that an intact nerve can “donate” axons to the distal end of an injured nerve (Papalia et al., 2003). This technique has received particular interest when the nerve gap is large or when the lesion is proximal, both of which severely limit nerve regeneration.

### **3. CHANGES OCCURRING DISTALLY TO THE DAMAGED NERVE: FOCUS ON SKELETAL MUSCLE**

Target organ atrophy might represent a limiting factor in functional recovery after nerve repair and regeneration. Among the different sensory and motor target organs, skeletal muscles represent the most important ones in terms of clinical relevance. The normal structural and functional integrity of skeletal muscle depends on intact innervation, normal transmission of impulses across the myoneural junction, and normal metabolic processes within the muscle cell. Injury to peripheral nerves always results in immediate loss of muscle function and progressive skeletal muscle atrophy, thus representing an important cause of poor clinical results after nerve reconstruction. Following a peripheral nerve injury, the longer the interval between denervation and reinnervation, the poorer the degree of motor recovery; thus, the regenerative outcome may be very poor when reinnervation of denervated target organs is delayed due either to a long distance between target and lesion site or to delayed nerve repair following major trauma (Birch & Raji, 1991; Merle, Bour, Foucher, & Saint Laurent, 1986). Since axons usually regrow at an average rate of 1 mm/day (Buchthal & Kuhl, 1979; Seddon & Fynn, 1972), it would take a long time for the muscle to be reinnervated. The success of reinnervation depends therefore both on the ability of the neuron to reprogram its growth and to establish new connections, and on the ability of muscle fibers to survive in the absence of trophic and regulating signals derived from the nerve.



Denervated muscles come across structural, biochemical, and physiological changes eventually leading to atrophy and apoptosis, losing up to 80% of their mass (Gutmann, 1962). Over time, denervated muscles lose receptiveness to regenerated motor axons that reach the muscle because of a significant loss of viable muscle cells due to fiber necrosis, connective tissue hyperplasia, and exhaustion of satellite cell regeneration (Fu & Gordon, 1995; Irintchev, Draguhn, & Wernig, 1990; Schmalbruch, al-Amood, & Lewis, 1991; Veltri, Kwiecien, Minet, Fahnestock, & Bain, 2005). The loss of neural input, including neurotransmitters, neurotrophic factors, and other signals, promotes muscle fiber atrophy and thus reduces receptivity to regenerated axons (Veltri et al., 2005). Moreover, in response to an injury, satellite cells undergo a period of rapid proliferation; the majority of the satellite cells differentiate and fuse to form new myofibers or to repair the damaged ones (Lu, Huang, & Carlson, 1997; Schultz, Jaryszak, & Valliere, 1985). During early stages, denervated muscle shows also a wide spectrum of molecular and cellular changes, including changes in gene expression. Upon denervation, there is the upregulation of NGF, BDNF (Zhao, Veltri, Li, Bain, & Fahnestock, 2004), IGF (Tang, Cheung, Ip, & Ip, 2000), fibroblast growth factor (FGF), hepatocyte growth factor (Yamaguchi, Ishii, Morita, Oota, & Takeda, 2004), and the alpha component of ciliary neurotrophic factor (CNTF) receptor complex (Tang et al., 2000). Also, the expression of many metabolic molecules, such as ferritin heavy chain, adhesion molecules, such as N-CAM, and extracellular proteases, including urokinase, change in response to muscle denervation. Finally, an increase in the level of ErbB2 and ErbB3 receptors and Neuregulin1 expression was also demonstrated (Ng, Pun, Yang, Ip, & Tsim, 1997; Nicolino et al., 2009; Suarez et al., 2001). These adaptive changes might act to maintain muscle fiber survival during early stages of denervation and participate in the remodeling of neuromuscular synapse (Tang et al., 2000). Traditional strategies to improve motor functional recovery after injury by delaying the effects of the denervation process include electrical stimulation and rehabilitation of the denervated muscles (Nicolaidis & Williams, 2001). These treatments can improve muscle function after nerve injury in the clinical setting; however, they are not very effective in arresting denervated muscle atrophy and patient compliance is often poor; moreover, implantable electrical systems are expensive. Microsurgical repair within 2 months of injury can essentially reverse skeletal muscle changes and result in good functional recovery (Finkelstein, Dooley, & Luff, 1993). In contrast, if surgery is delayed for 6 months or more, denervation results in irreversible structural damage, including extrafusal fiber necrosis, connective tissue hyperplasia, and deterioration of the muscle spindles, leading to poor reinnervation and functional recovery (Bain, Veltri, Chamberlain, & Fahnestock, 2001; Hynes, Bain, Thoma, Veltri, & Maguire, 1997; Veltri et al., 2005). Furthermore, even when nerve surgery is performed early, there will still be a long period of muscle denervation if the distance from the site of injury is substantial, and the operative results are likely to be correspondingly poor. A useful strategy to delay the skeletal muscle atrophy might be to connect the end of a sensory nerve to the side of the distal nerve stump of the injured nerve (sensory protection) in order to maintain the structural and functional integrity of muscle until axons of the native nerve reach their target (Bain et al., 2001; Hynes et al., 1997; Irintchev et al., 1990; Veltri et al., 2005; Wang, Gu, Xu, Shen, & Li, 2001). This strategy uses a readily available sensory nerve to directly or indirectly support denervated muscle fibers by the supply of trophic factors, improve existing endoneurial sheath structure, and enhance regeneration by the native nerve (Veltri et al.,

2005; Zhao et al., 2004). It has been shown that sensory protection minimizes two of the three major structural consequences of chronic denervation: fiber necrosis and connective tissue hyperplasia.

Another solution to delay the denervation atrophy of skeletal muscles is the use of neural stem cells (NSCs); recent studies have reported that genetically modified NSCs ameliorate experimental spinal muscular atrophy, providing neurotrophic support or partially replacing interrupted innervation between neural cells and skeletal muscles (Corti et al., 2006, 2009). Embryonic stem cells transplanted into the spinal cord could differentiate into relatively normal motor neurons, extend axons into peripheral nerves, and form new NMJs with denervated muscles (Deshpande et al., 2006; Gao, Coggeshall, Tarasenko, & Wu, 2005; Lee, Tos, et al., 2007; Lee, Jeyakumar, et al., 2007). Finally, local and/or systemic administration of various molecules might also prevent skeletal muscle atrophy, such as IGF-1 (Latres et al., 2005; Yoshida, Semprun-Prieto, Sukhanov, & Delafontaine, 2010) and ghrelin (Porporato et al., 2013).

#### **4. CHANGES OCCURRING PROXIMALLY TO THE DAMAGED NERVE**

##### **4.1. Changes in the DRGs after peripheral nerve injury and regeneration**

Anatomically, the DRGs are located along the dorsal spinal roots; they house the cell bodies of primary afferents of the spinal sensory system and are surrounded by a thick connective capsule. As a consequence of a peripheral nerve injury, trophic support from the periphery is blocked and DRG neuron cell bodies undergo adaptive changes: Nissl bodies (i.e., the basophilic neurotransmitter synthetic machinery) undergo dissolution, which is followed by a prominent migration of the nucleus from the center of the cell toward the periphery, an increase in the size of the nucleolus, nucleus, and cell body, cell swelling, and retraction of dendrites, which collectively are called “chromatolytic changes” (Fenrich & Gordon, 2004; Lieberman, 1971). The disappearance of the prominent basophilic-stained Nissl granules is particularly evident. These granules are ribosome clusters of rough endoplasmic reticulum, that is not observed after axotomy, when they become disorganized, freeing polyribosomes and ribonucleotides into the cytoplasm. The severity and the time course of the chromatolytic process are mainly influenced by the severity of the injury, the distance of lesion to cell body, the type of neuron, and the age (Navarro et al., 2007). The dissolution of the ribosomes and ordered arrays of rough endoplasmic reticulum that constitute the Nissl bodies are then accompanied by metabolic changes including overall increases in protein and mRNA synthesis as well as changes in the pattern genes that the neuron expresses. The main metabolic activity of the cell is shifted from synthesizing neurotransmitter-related proteins to the synthesis of structural materials needed for axon repair and growth. For example, choline acetyltransferase is downregulated, whereas the neuropeptide, calcitonin gene-related peptide, the fast transported growth-associated protein, GAP-43, and the slowly transported cytoskeletal proteins, actin and tubulin, are upregulated (Haas, Donath, & Kreutzberg, 1993; Tetzlaff, Gilad, Leonard, Bisby, & Gilad, 1988). Glucose-6-phosphate dehydrogenase and hydrolytic enzyme are also upregulated (Davis, Taylor, & Anastakis, 2011; Fawcett & Keynes, 1990). The success of nerve regeneration and functional reinnervation of

targets first depend on the capacity of axotomized neurons to survive and shift toward the regenerative phenotype (Navarro et al., 2007). Results of studies on the changes in DRG neuron number following a nerve injury show a wide variation in results. Most of the authors report that peripheral nerve transection induces the primary sensory neuronal death (Himes & Tessler, 1989; Terenghi, 1999; Vestergaard, Tandrup, & Jakobsen, 1997), showing that between 7% and 50% of primary sensory neurons (more small than large neurons) die after injury (Himes & Tessler, 1989; McKay Hart, Brannstrom, Wiberg, & Terenghi, 2002). Other authors report no significant neuron loss (Swett, Hong, & Miller, 1995) or no detectable loss of dorsal root axons until 4 months (Coggeshall, Lekan, Doubell, Allchorne, & Woolf, 1997; Cohen, Yachnis, Arai, Davis, & Scherer, 1992) after injury to the spinal or sciatic nerve. Further, during regeneration, the cell body undergoes visible changes that mark the reversal of chromatolysis. Indeed, the nucleus returns to the cell center and nucleoproteins reorganize into the compact Nissl granules. A complex and incompletely understood interaction occurs between the cell body and the regenerating axon tip. Axoplasm, which serves to regenerate the axon tip, arises from the proximal axon segment and cell body. Both fast and slow components of axoplasmic transport supply materials from the cell body to the sites of axonal regeneration. The rate of increase in protein and lipid synthesis in the cell body influences the rate of advance and the final caliber of the regenerating axon (Burnett & Zager, 2004).

#### **4.2. CNS plasticity induced by peripheral nerve injury and regeneration**

Until recently, it was thought that no new neural connections could be formed in the adult brain (Kandel & Squire, 2000). It was assumed that, once connections had been established in foetal life, they hardly changed in adulthood. The only areas of the adult brain capable of reorganization were those involved in learning and memory processes. The picture has changed radically in the past decades; indeed, recent evidence demonstrates that the brain is capable of remarkable and widespread adaptive changes in response to peripheral injuries (Davis et al., 2011; Jain, Florence, & Kaas, 1998; Navarro et al., 2007). In fact, an injured nerve stops to function normally and this occurrence results in the reorganization of the projections to the CNS. While it is likely that this reorganization following injury takes place in the cortex, plastic changes may also occur in subcortical structures such as the thalamus, brainstem relay nuclei, and spinal cord (Lewin & McMahon, 1993). Plasticity of central connections may be positive, that is, compensate the lack in target reinnervation, but may also result in maladaptive changes, such as neuropathic pain, hyperreflexia, dystonia, and phantom limb awareness (Navarro et al., 2007). The response of the CNS to altered peripheral inputs may take many forms and include changes in ongoing or stimulus-evoked activity, neurochemical changes, functional alterations of excitatory and inhibitory connections, atrophy and degeneration of normal substrates, sprouting of new connections, and reorganization of somatosensory and motor maps (Davis et al., 2011; Navarro et al., 2007). Plasticity of the somatosensory system has been extensively studied, and it has been shown that dramatic changes in the organization of cortical topography of the S1 area (primary somatosensory cortex) occur in response to a peripheral nerve injury (Donoghue & Sanes, 1987). It has been demonstrated that following peripheral nerve lesion in adult monkeys, the area in the somatosensory cortex corresponding to the deafferented body parts of the S1 became

responsiveness to inputs from neighboring body parts (Merzenich et al., 1983). Although this form of cortical plasticity is well documented across several sensory systems and in several species, such as cats, raccoons, rodents, and bats, the understanding of the underlying mechanisms remains an active area of research (Pelled, Chuang, Dodd, & Koretsky, 2007). In the motor system, changes in cortical representation also occur after peripheral injury; following amputation or peripheral nerve lesions, the area from which stimulation evoked movements of the adjacent body parts enlarged and the threshold for eliciting these movements is reduced (Donoghue & Sanes, 1988; Sanes, Suner, & Donoghue, 1990). The hypothesis that also visceral afferents exert a significant influence on the CNS plasticity has been investigated by many researchers, even if little is known. Recent studies have showed that vagus nerve stimulation (VNS, a well-established adjunctive treatment for intractable epilepsy and treatment-resistant depression) can induce neurogenesis and plasticity in the hippocampus (Biggio et al., 2009). In particular, it was demonstrated that acute VNS induces cell proliferation in the dentate gyrus of the adult rat hippocampus and an increase of both the total amount of doublecortin (DCX) immunoreactivity and the number of DCX-positive neurons in the dentate gyrus. In contrast, chronic VNS induced an increase of the BDNF expression, which may serve to promote and maintain new neuronal connections formed in response to chronic VNS (Biggio et al., 2009). Moreover, it was shown that acute VNS increased the expression of genes for BDNF and basic FGF in the rat hippocampus, both of which are important modulators of hippocampal plasticity and neurogenesis (Follesa et al., 2007). Finally, a recent study showed that damage to the subdiaphragmatic vagus in adult rats is followed by microglia activation and long-lasting changes in the dentate gyrus, leading to alteration of neurogenesis (Ronchi, Ryu, Fornaro, & Czaja, 2012).

## 5. HOW TO STUDY PERIPHERAL NERVE REGENERATION?

Essential progress in peripheral nerve regeneration research has been possible using animal models which may simulate anatomical, physiological, and behavioral aspects of the regenerative process. The experimental models available can be divided into three main groups according to (i) the animal model, (ii) the localization of lesion, and (iii) the type of lesion. By far, in nerve regeneration studies, the most employed laboratory animals are rats. The main reason appears to be the larger physical size of rat nerves compared to mouse nerves, which reduces the complexity of the microsurgical procedures (Tos et al., 2008), the possibility to have standardized and comparable functional tests and the fact that rats are more resilient than mice. On the other hand, the availability of genetically modified mouse colonies will probably increase mouse employment since transgenic models will allow to elucidate the role of a particular gene or protein in the mechanisms of the nerve regeneration process. In addition to these models, various large animal models have been employed including rabbits, sheep, pigs, and primates because several authors believe that the translation to clinical application may benefit from a preclinical study on large animal nerves since the regeneration process of nerves in large animals is more similar to humans (Fullarton, Lenihan, Myles, & Glasby, 2000). According to the localization of the lesion, until recently, most peripheral nerve regeneration studies had been mainly carried out on the rat sciatic nerve model, primarily because

it is the largest peripheral nerve (Baptista et al., 2007; Luis et al., 2007; Varejao et al., 2004). However, since most of the human peripheral nerve injuries affect the upper extremity, the necessity of an experimental model close to clinical interests is required. Indeed, recent years have shown an increasing interest toward the employment of major forelimb nerves (Geuna et al., 2007; Papalia, Tos, Scevola, Raimondo, & Geuna, 2006; Papalia, Tos, Stagno d'Alcontres, Battiston, & Geuna, 2003; Sinis et al., 2008; Tos et al., 2009). In particular, the median nerve attracted the attention of peripheral nerve researchers because of the availability of an easier and more reliable behavioral test (the grasping test) (Lee, Tos, et al., 2007; Papalia et al., 2003; Tos et al., 2007). According to the type of lesion, so far, two main experimental lesion paradigms have been adopted for nerve regeneration studies: (1) axonotmesis (crush), which is characterized by complete interruption of nerve fibers continuity without discontinuing the nerve, and (2) neurotmesis, which is a complete transection of the whole nerve. The complete nerve transection requires surgical repair to reestablish epineurial continuity. This experimental paradigm provides not only the model for the comparative investigation of new types of microsurgical and tissue engineering approaches for nerve reconstruction but also a good model for assessing the effectiveness of various postoperative treatments (drugs, physical therapy, diet, etc.). On the other hand, with a crush lesion, the injured axons are provided with an optimal regeneration pathway, represented by the nerve segment distal to the injury, without the need for the microsurgical repair. This experimental approach is therefore less technically challenging and is particularly suitable when a reproducible regeneration process is required, such as for the study of the biological mechanisms of regeneration or rationale development for new therapeutic agents. Recent advances in molecular neurobiology include the development of transgenic mice that have been used in multiple areas, including the field of developmental neurobiology and disease processes such as cancer and diabetes, but their use has extended also to the study of peripheral nerve regeneration subsequent to traumatic injuries. In particular, knockout mice, which carry a targeted gene inactivated through genetic engineering, are useful to elucidate the role of a particular gene or protein in a physiologic pathway by evaluating the consequences of its inactivation. On the other hand, transgenic animals that overexpress a particular gene or proteins are available, thus circumventing methodological difficulties in drug delivery, maintenance of constant neurotrophic factor concentrations, and the comorbidities associated with achieving these aims. Moreover, the availability of conditional knockout mice whose mutations can be targeted both spatially and temporally obviate the problem that some homozygous knockout mice can be embryonically lethal, thus limiting their usefulness. In addition, emerging tools include mice the axons or Schwann cells of which express fluorescent chromophores, which enabled new experiments with direct visualization of nerve regeneration over time. In the past years, many studies of peripheral nerve regeneration have used knockout animals to elucidate the role of different neurotrophic factors during the process. For example, it has been shown that animals lacking CNTF are unable to produce motor nerve terminal sprouts after nerve transection or botulinum toxin injection and have also decreased ability to repair peripheral nerve damage from crush injury (Mizisin, Vu, Shuff, & Calcutt, 2004). Studies using GDNF-deficient mice, as well as NT-3 deficient mice, revealed inadequate development of sympathetic and sensory neurons (Anand, 2004), whereas knockout animals for the low-affinity NGF receptor p75NGFR showed decreased

sensory innervation (Lee et al., 1992). Transgenic mice lacking IGF-1 show a decrease in motor and sensory nerve conduction velocities but no significant reduction in peripheral nerve myelination (Gao et al., 1998). Animals lacking ApoD revealed a decrease in motor nerve conduction velocity and thickness of myelin sheath in intact nerves, and after injury, axon regeneration and remyelination are delayed (Ganforina et al., 2010). The lack of Cx32, a gap junction protein, showed abnormally thin myelin sheaths, reflecting myelin degeneration-induced Schwann cell proliferation, while nerve conductance properties are altered only slightly (Anzini et al., 1997). Neuropilin-2-deficient mice showed slower axonal regeneration, remyelination of the regenerating axons, and recovery of normal gait after a crush lesion of the sciatic nerve (Bannerman et al., 2008). An experiment using the Cre-loxP system to disrupt the laminin  $\alpha 1$  gene in Schwann cells showed that, during development, Schwann cells that lack laminin  $\alpha 1$  were unable to differentiate and synthesize myelin proteins, and therefore unable to myelinate axons. Moreover, after sciatic nerve crush, the axons showed impaired regeneration in mutant mice (Chen & Strickland, 2003). Peripheral nerves develop and function normally in GFAP-null mice. However, axonal regeneration after crush lesion was delayed. Mutant Schwann cells maintained the ability to differentiate but showed defective proliferation, a key event for successful nerve regeneration (Triolo et al., 2006). Neurofilament light knockout mice develop normally, but the regeneration of myelinated axons following crush injury was found to be abnormal with fewer newly regenerated myelinated axons in the sciatic nerve and facial nerve (Zhu, Couillard-Despres, & Julien, 1997). BACE1 (b-site amyloid precursor protein cleaving enzyme 1) knockout and wild-type nerves degenerated at a similar rate after axotomy. However, BACE1 knockout mice had markedly enhanced clearance of axonal and myelin debris from degenerated fibers, accelerated axonal regeneration, and earlier reinnervation of NMJs, compared with controls (Farah et al., 2011). Fricker et al. (2011) used a single-neuron labeling with inducible Cre-mediated knockout animals, which enabled visualization of a subset of adult myelinated sensory and motoneurons in which *Nrg1* was inducibly mutated by tamoxifen treatment. In uninjured mice, NRG1-deficient axons and the associated myelin sheath were normal, and the NMJ demonstrated normal apposition of presynaptic and postsynaptic components. After sciatic nerve crush, NRG1 ablation resulted in severe defects in remyelination: axons were either hypomyelinated or had no myelin sheath. NRG1-deficient axons were also found to regenerate at a slower rate (Fricker et al., 2011). Other studies use the overexpression of proteins in order to better understand the role of a certain protein and to circumvent methodological difficulties in drug delivery. For example, transgenic mice constitutively expressing both interleukin 6 (IL-6) and its receptor (IL-6R) showed accelerated regeneration of the axotomized nerve (Hirota, Kiyama, Kishimoto, & Taga, 1996). The overexpression of FGF-2 showed no difference in number and size of myelinated fibers compared to wild-type mice in intact nerves. On the other hand, 1 week after crush injury, the number of regenerated axons was doubled and the myelin thickness was significantly smaller in transgenic mice, but after 2 and 4 weeks, there were no differences in the recovery of sensory and motor nerve fibers, showing that FGF-2 influences early peripheral nerve regeneration by regulating Schwann cell proliferation, axonal regrowth, and remyelination (Jungnickel, Haase, Konitzer, Timmer, & Grothe, 2006). Transgenic mice expressing Nogo-C in peripheral Schwann cells regenerate axons less rapidly than do wild-type mice after mid-thigh

sciatic nerve crush (Kim, Bonilla, Qiu, & Strittmatter, 2003). On the other hand, using regulated transgenic expression of Nogo-A in peripheral nerve Schwann cells, Pot et al. (2002) showed that axonal regeneration and functional recovery are impaired after a sciatic nerve crush. Finally, the overexpression of L1(adhesion molecule) in neurons had no effect on femoral nerve function, numbers of quadriceps motoneurons, and myelinated axons in injured nerves; after femoral nerve injury, L1 overexpression had no impact on the time course and degree of functional recovery, but myelination in the motor and sensory femoral nerve branches was significantly improved and loss of perisomatic inhibitory terminals on motoneurons was attenuated in the transgenic mice (Guseva et al., 2011). Recently, we showed that constitutive ErbB2 receptor overexpression improves nerve regeneration following traumatic injury, possibly through the upregulation of soluble NRG1 isoforms (Ronchi et al., 2013).

## 6. CONCLUSIONS

Recent advances in peripheral nerve regeneration research have strengthened the view that the process of nerve regeneration and target reinnervation is quite complex and involves several factors. Keeping in mind the complexity of the whole process is thus very important for improving our knowledge on peripheral nerve regeneration, especially in the perspective of translating basic science results to the clinics. Figure 8.2 synthesizes this concept: in fact, it is important, but not enough, to study the involvement of a single molecule, such as the NRG1/ErbB system (Ronchi et al., 2013) or other ligands and receptors involving in the process. It is also important, but not enough, to study the cell–cell interaction (in particular the interaction between regenerating axons and glial cells). Moreover, it is important, but not enough, to study the dynamics of the regenerative nerve, for example, with a tissue engineering approach and reconstructive microsurgery. Rather, the wholesystem must be taken into account, including not only the damaged nerve and all the molecules involved in the process but also the proximal plasticity that occurs after a peripheral injury (DRG and CNS) and the effects that an injury has on distal sites (such as skeletal muscle atrophy). Methods to improve the regenerative process should therefore simultaneously potentiate axonal regeneration, increase neuronal survival, and modulate central reorganization, as well as reduce muscle and sensory receptor atrophy and degeneration. It is expected that this holistic approach might lead to significant improvement in the functional outcome and thus the quality of life of the patients suffering from peripheral nerve injury.

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184 Pierluigi Tos et al.

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188 Pierluigi Tos et al.

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